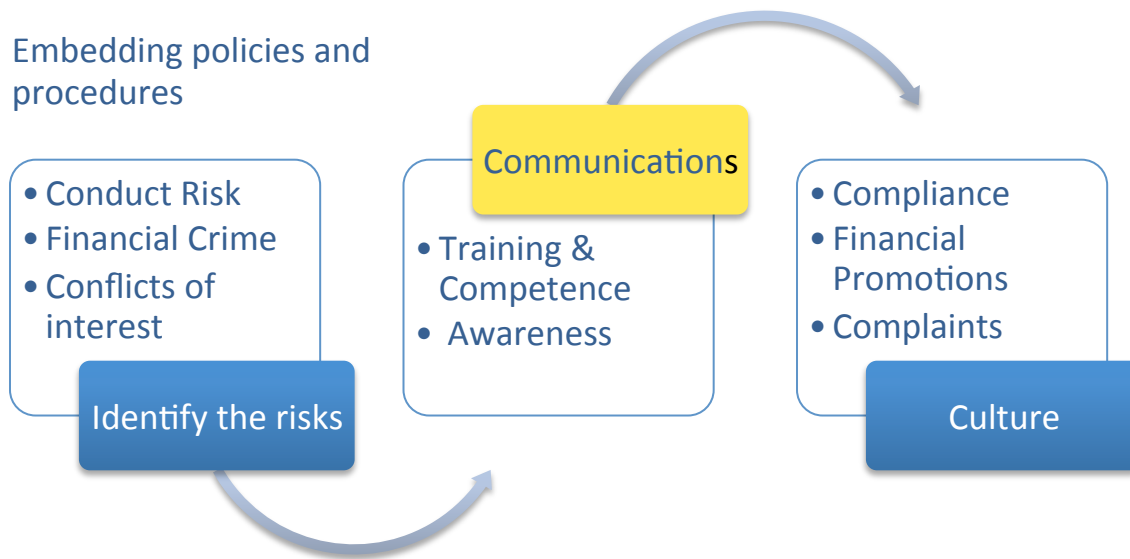


Policy Governance

Helping to document policies and procedures, communicate these so that staff are aware, then monitoring to ensure they maintain effectiveness.

- Compliance Manual
- Training and Competence
- Conduct risk policy
- Complaints
- Financial Promotions
- Financial Crime
- Conflicts of Interest

Embedding policies and procedures



We can assist you develop or enhance your policies and procedures, ensuring alignment to your risk appetite and corporate culture